

Borrower Name:	Loan Number:
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### LOAN INFORMATION

<b>Transaction Type</b> <input type="checkbox"/> Purchase <input type="checkbox"/> Refinance: <input type="checkbox"/> Cash Out <input type="checkbox"/> Rate/Term	<b>Program &amp; Document Type</b> <input type="checkbox"/> <b>Prime   Plus Connect:</b> <input type="checkbox"/> Full Doc <input type="checkbox"/> Express Doc <input type="checkbox"/> Bank Statement <input type="checkbox"/> Flex (Broker DO/DU) <input type="checkbox"/> Asset Utilization <input type="checkbox"/> <b>Investor Connect   Premier:</b> <input type="checkbox"/> DSCR	<input type="checkbox"/> <b>Agency Connect:</b> <input type="checkbox"/> Broker DO/DU <input type="checkbox"/> Full   Express Doc <input type="checkbox"/> <b>Jumbo Connect:</b> <input type="checkbox"/> Full Doc	<b>Occupancy</b> <input type="checkbox"/> Primary <input type="checkbox"/> 2 <sup>nd</sup> Home <input type="checkbox"/> Investment	<b>Amortization</b> <input type="checkbox"/> Fixed <input type="checkbox"/> ARM <input type="checkbox"/> Interest Only	<b>Property Type</b> <input type="checkbox"/> SFR <input type="checkbox"/> PUD <input type="checkbox"/> Condo <input type="checkbox"/> 2-4 Unit
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### REQUIRED DOCUMENTS TO DISCLOSE

URLA Signed by MLO with NMLS ID dated within 24 hours of submission <i>(TRID timing not applicable to Business Purpose)</i>
Credit Report (120 Day age of document max) <b>Foreign National not applicable</b>
Purchase Contract <i>(required for Purchase Transactions only)</i>
Closing Agent Closing Disclosure/Escrow Settlement Statement and/or Broker Fee Sheet <i>(title/escrow fee estimates required)</i>
Loan Setup will complete estimates for Recording Fees and Transfer Taxes <u>Only</u> <i>(when applicable)</i> per the <a href="#">First American Fee Calculator</a>

### REQUIRED DOCUMENTS TO PROCEED TO UW

#### Prime | Plus Connect – Full Doc

Wage Earner	
2 Years	W2s
	Paystubs covering 30 Days (120 Day age of doc max)
	2 Mos Asset Statements (120 Day age of doc max)
	Broker DO/DU Findings <i>(Agency &amp; Flex Program Only)</i>
1099 (Contractor)	
2 Years	1099s
	2 Mos Asset Statements (120 Day age of doc max)
	Broker DO Findings <i>(Flex Program Only)</i>
Self-Employed ≥ 25% Ownership	
2 Years	Personal and Business Tax Returns
	2 Mos Asset Statements (120 Day age of doc max)
	Broker DO/DU Findings <i>(Agency &amp; Flex Program Only)</i>

#### Prime | Plus Connect – Express Doc

Wage Earner	
1 Year	W2(s)
	Paystubs covering 30 Days (120 Day age of doc max)
	2 Mos Asset Statements (120 Day age of doc max)
	Broker DO Findings <i>(Agency &amp; Flex Program Only)</i>
1099 (Contractor)	
1 Year	1099(s)
	2 Mos Asset Statements (120 Day age of doc max)
	Broker DO Findings <i>(Flex Program Only)</i>
Self-Employed ≥ 25% Ownership	
1 Year	Personal and Business Tax Returns
	2 Mos Asset Statements (120 Day age of doc max)
	Broker DO Findings <i>(Agency &amp; Flex Program Only)</i>

#### Prime | Plus Connect – Bank Statements

12 Months Consecutive Personal or Business Bank Statements (120 Day age of document max)
<a href="#">CEL Business Narrative</a>
Broker DO/DU Findings <i>(Flex Program Only)</i>



## MINIMUM SUBMISSION CHECKLIST

### Prime | Plus Asset Utilization

4 Mos Asset Statements <i>(for all accounts listed on URLA)</i> (120 Day age of doc max)
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### Investor Connect | Premier - DSCR

Schedule of REO <i>(for all properties listed on URLA/Credit Report)</i>
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### Prime Jumbo Connect – Full Doc

<b>Wage Earner</b>	
2 Years W2s	
Paystubs covering 30 Days (120 Day age of doc max)	
2 Mos Asset Statements (120 Day age of doc max)	
<b>1099 (Contractor)</b>	
2 Years 1099s	
2 Mos Asset Statements (120 Day age of doc max)	
<b>Self-Employed ≥ 25% Ownership</b>	
2 Years Personal and Business Tax Returns	
2 Mos Asset Statements (120 Day age of doc max)	

### CEL Required - State Specific Broker Disclosures *(TRID timing specific)*

Disclosure(s) not required for Business Purpose Submissions

*Additional State Specific Disclosures not bound to TRID timing may still be required and accordingly conditioned for while in UW.*

DE	Mortgage Loan Broker Fee Agreement
IA	Loan Broker Agreement
ID	Licensee Information Disclosure
IL	Advanced Fee Agreement, Brokerage Agreement, Brokerage Disclosure Statement & APLD Cert <i>(when applicable)</i>
IN	Loan Broker Agreement
LA	Loan Broker Agreement and Disclosure & Mortgage Loan Origination Agreement
MD	Mortgage Broker Fee Agreement
NE	Loan Broker Disclosure Statement
NH	Application Disclosure & Payment Disclosure
NJ	Mortgage Broker Disclosure
OH	Notice Pursuant to Section 4112.021 Ohio Revised Code & Acknowledgement of Receipt of Home Mortgage Loan Information Document
SC	Mortgage Broker Fee Agreement & Attorney/Insurance Preference Form
TX	Texas Mortgage Company Disclosure <b>or</b> Texas Mortgage Banker Disclosure <i>(req. disc. is broker company specific)</i>
VA	Disclosure of Terms of Mortgage Application, Mortgage Broker Agreement & Notice of Mortgage Broker Fee
VT	Broker/Prospective Borrower Agreement
WI	Application Disclosure, Consumer Disclosure & Mortgage Broker Agreement