

# TAX PROFESSIONAL ATTESTATION

## TAX PROFESSIONAL INFORMATION

Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

Email: \_\_\_\_\_

Phone: \_\_\_\_\_

CTEC/CPA/EA #: \_\_\_\_\_

## BORROWER INFORMATION

Borrower Name:

Business Name:

Business Address:

Ownership Percentage (%): \_\_\_\_\_

Date borrower became legal owner of business: \_\_\_\_\_

Did you file the borrowers most recently files tax return?	Yes	No
1	1	0
2	1	0
3	1	0
4	1	0
5	1	0
6	1	0
7	1	0
8	1	0
9	1	0
10	1	0
11	1	0
12	1	0
13	1	0
14	1	0
15	1	0
16	1	0
17	1	0
18	1	0
19	1	0
20	1	0
21	1	0
22	1	0
23	1	0
24	1	0
25	1	0
26	1	0
27	1	0
28	1	0
29	1	0
30	1	0
31	1	0
32	1	0
33	1	0
34	1	0
35	1	0
36	1	0
37	1	0
38	1	0
39	1	0
40	1	0
41	1	0
42	1	0
43	1	0
44	1	0
45	1	0
46	1	0
47	1	0
48	1	0
49	1	0
50	1	0
51	1	0
52	1	0
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84	1	0
85	1	0
86	1	0
87	1	0
88	1	0
89	1	0
90	1	0
91	1	0
92	1	0
93	1	0
94	1	0
95	1	0
96	1	0
97	1	0
98	1	0
99	1	0
100	1	0

Do you have any relationship to the borrower or any association with the business?    Yes    No

If yes, please explain: \_\_\_\_\_

Have you performed either the following functions:

Audited the business financial statements, or	Yes	No
1. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2011?		
2. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2012?		
3. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2013?		
4. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2014?		
5. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2015?		
6. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2016?		
7. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2017?		
8. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2018?		
9. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2019?		
10. Did you or your firm audit the business financial statements of the company or any of its subsidiaries, or did you or your firm prepare the business financial statements of the company or any of its subsidiaries, for the period ending 12/31/2020?		

Reviewed working papers provided by the borrower	Yes	No

What is the business expense factor as a percentage of gross revenue? (10% Floor) \_\_\_\_\_

Does this expense factor represent an accurate summary of the business's applicable cash expenses?	Yes
	No

Additional Comments:

Print Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

\* Must be wet signed or DocuSign may be eligible if accompanied by a Certificate of Completion originating from the tax preparer.

**\*\* Please send Tax Professional license with this attestation**

\*\*\*Self-Employed borrowers who file their own tax returns are not eligible